

## External review of the National Accreditation Agency (NAA) by the European Association for Quality Assurance in Higher Education (ENQA)

### **Annex I: TERMS OF REFERENCE**

December 2013

#### **1. Background and Context**

The National Accreditation Agency of the Russian Federation (NAA) was established by the Ministry of Education of the Russian Federation in 1995. The overall aim of the Agency is to support the higher education institutions, the educational establishments of vocational training, and the educational authorities of the Russian Federation in carrying out their state accreditation procedures.

NAA is involved in European and international cooperation through ENQA, the Asia-Pacific Quality Network (APQN), the Eurasian Quality Assurance Network (EAQAN), the International Network for Quality Assurance Agencies in Higher Education (INQAAHE) and the Network of Central and Eastern European Quality Assurance Agencies in Higher Education (CEE Network).

NAA has been granted Full member of ENQA on 7 September 2009.

#### **2. Purpose and Scope of the Evaluation**

This is a type A review, as defined in the *Guidelines for external reviews of quality assurance agencies in the European Higher Education Area*. It will evaluate the way in which and to what extent NAA fulfils the criteria for the ENQA membership and thus the *Standards and Guidelines for Quality Assurance in the European Higher Education Area (ESG)*. Consequently, the review will also provide information to the ENQA Board to aid its consideration of whether NAA should be reconfirmed Full Member of ENQA. The review panel is not expected, however, to make any judgements as regards the reconfirmation of Full Membership.

#### **3. The Review Process**

The process is designed in the light of the *Guidelines for external reviews of quality assurance agencies in the European Higher Education Area*.

The evaluation procedure consists of the following steps:

- Formulation of the Terms of Reference and protocol for the review;
- Nomination and appointment of the review panel;
- Self-evaluation by NAA including the preparation of a self-evaluation report;
- A site visit by the review panel to NAA;
- Preparation and completion of the final evaluation report by the review panel;
- Scrutiny of the final evaluation report by the Review Committee of the ENQA Board;
- Analysis of the scrutiny by the ENQA Board and their decision regarding ENQA membership;
- Follow-up of the panel's and/or ENQA Board's recommendations by the agency.

##### **3.1 Nomination and appointment of the review team members**

The review panel consists of five members: Four external reviewers (one or two quality assurance experts, representative(s) of higher education institutions, student member) and a review secretary. Three of the reviewers (including the review secretary) are nominated by the ENQA Board on the basis of proposals submitted to ENQA by the national agencies, and are drawn from senior serving members of Board/Council or staff of ENQA member agencies. The fourth external reviewer is drawn from a nomination provided by the European University Association (EUA). The nomination of the student member is asked from the European Students' Union (ESU). One of the panel members serves as the chair of the review.

Current members of the ENQA Board are not eligible to serve as reviewers.

ENQA will provide NAA with the list of suggested experts with their respective curriculum vitae to establish that there are no known conflicts of interest. The experts will have to sign a non-conflict of interest statement as regards the NAA review.

### **3.2 Self-evaluation by NAA, including the preparation of a self-evaluation report**

NAA is responsible for the execution and organisation of its own self-evaluation process and shall take into account the following guidance:

- Self-evaluation is organised as a project with a clearly defined schedule and includes all relevant internal and external stakeholders;
- The self-evaluation report is broken down by the topics of the evaluation and is expected to contain, among others: a background description of the current situation of the Agency; an analysis and appraisal of the current situation; proposals for improvement and measures already planned; a SWOT analysis;
- The report is well-structured, concise and comprehensively prepared. It clearly demonstrates the extent to which NAA fulfils its tasks of external quality assurance and meets the criteria for the ENQA membership and thus the ESG. The report is submitted to the review panel a minimum of four weeks prior to the site visit.

### **3.3 A Site Visit by the Review Panel**

NAA will draw up a draft proposal of the schedule for the site visit to be submitted to the review panel at least two months before the planned dates of the visit. The schedule includes an indicative timetable of the meetings and other exercises to be undertaken by the review panel during the site visit, the duration of which is 2 days. The approved schedule shall be given to NAA at least one month before the site visit, in order to properly organise the requested interviews.

The review panel will be assisted by NAA in arriving in Moscow, Russia.

The site visit will close with an oral presentation and discussion of the major issues of the evaluation between the review panel and NAA.

### **3.4 Preparation and completion of the final evaluation report**

On the basis of the review panel's findings, the review secretary will draft the report in consultation with the review panel. The report will take into account the purpose and scope of the evaluation as defined under article 2. It will also provide a clear rationale for its findings with regards to each ENQA membership criteria. A draft will be submitted for comment to NAA within two months of the site

visit for comment on factual accuracy. If NAA chooses to provide a statement in reference to the draft report it will be submitted to the chair of the review panel within two weeks after the receipt of the draft report. Thereafter the review panel will take into account the statement by NAA, finalise the document and submit it to NAA and ENQA.

The report is to be finalised within three months of the site visit and will not exceed 40 pages in length.

#### **4. Follow-up Process and Publication of the Report**

NAA will consider the expert panel's report and will publish it on its website. The report will also be published on the ENQA website, regardless of the review outcome and decision by the ENQA Board. NAA commits to preparing a follow-up plan in which it addresses the recommendations of the review panel and to submitting, if requested, a progress report to the ENQA.

#### **5. Use of the report**

ENQA shall retain ownership of the report. The intellectual property of all works created by the expert panel in connection with the review contract, including specifically any written reports, shall be vested in ENQA.

The review report is to be used by the Board of ENQA for the purpose of reaching a conclusion on whether NAA has met the membership criteria/ESG.

The review report is to be considered as property of ENQA only after being approved by the ENQA Board. Once submitted to NAA and ENQA and until the decision by the Board is made, the report may not be used or relied upon by NAA, the panel and any third party and may not be disclosed without the prior written consent of ENQA. NAA may use the report at its discretion only after the Board decision has been made.

Should the review report be used for applying to the European Quality Assurance Register for Higher Education (EQAR), the Chair of the panel shall remain available to respond to questions of clarification or further information from the EQAR Register Committee provided that the ENQA Secretariat is copied in all such requests.

#### **6. Budget**

NAA shall pay the following review related fees:

Fee of the Chair	4,750 EUR
Fee of the Secretary	4,750 EUR
Fee of the 3 other panel members	8,250 EUR (2,750 EUR each)
Administrative overhead for ENQA Secretariat	5,000 EUR
Experts Training fund	1,250 EUR
Travel and subsistence expenses (approximate)	6,000 EUR

This gives a total indicative cost of 30,000 EUR for a review team of 5 members. In the case that the allowance for travel and subsistence expenses is exceeded, NAA will cover any additional costs after the completion of the review. However, the ENQA Secretariat will endeavour to keep the travel and

subsistence expenses in the limits of the planned budget, and will refund the difference to NAA if the travel and subsistence expenses go under budget.

In the event of a second site visit required by the Board and aiming at completing the assessment of compliance, and should the agency accept a second visit, an additional fee of 500 EUR per expert, as well as travel and subsistence costs are recoverable from the agency.

#### **7. Indicative Schedule of the Review**

Agreement on terms of reference and protocol for review	January 2014
Appointment of review panel members	February 2014
Self-evaluation completed	April 2014
Preparation of site visit schedule and indicative timetable	April-May 2014
Briefing of review panel members	May 2014
Review panel site visit	June 2014
Draft of evaluation report to NAA	September 2014
Statement of NAA to review panel if necessary	September 2014
Submission of final report to ENQA and NAA	October 2014
Consideration of the report by ENQA	November 2014
Publication of report and implementation plan	November 2014