

**14TH ENQA TRAINING OF AGENCY
REVIEWERS**

PURPOSE AND MAIN STAGES OF THE REVIEW PROCESS

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PURPOSE OF THE REVIEW

ENQA membership

- An external review against the ESG is a prerequisite to become a member of ENQA / for renewal of membership (every 5 years).
- To be eligible, the agency should have been operational in quality assurance for at least 2 years and should have completed at least 5 review reports.

EQAR registration

- An external review against the ESG is also a requirement for agencies wishing to apply to the European Quality Assurance Register for Higher Education (EQAR)
- ENQA Agency Reviews also comply with EQAR's requirements for external reviews

AIM OF THE REVIEW

- To assess an agency's **compliance with the ESG** and to support it in its efforts to **constantly review and enhance** its work.



REMIT OF THE REVIEW

- **The first ESG review** pays specific attention to the policies, procedures, and criteria in place.
 - Full evidence of concrete results in all areas may not be required at this stage, e.g. completed reports of thematic analyses.
 - However, the agency must be able to document (as in action plans with timetables) how they are able to achieve results according to all standards by the next review.
- **The second and subsequent reviews** require clear evidence of results in all areas.
 - Further reviews will need to acknowledge progress from the previous review - mandatory element in both the SAR and the panel report.

REMIT OF THE REVIEW

- All reviews – whether first, second, or subsequent – must always have a **developmental approach** and aim at **constant enhancement** of the agencies.



MAIN STAGES OF THE REVIEW PROCESS



INITIAL STAGE

- **Request:** review is initiated by a request from an agency that wants to be granted or to renew ENQA membership.
- **Coordinator:** each review is assigned to an ENQA Secretariat staff member who will act as the review coordinator. This person serves as the main contact person for the agency and the review panel throughout the process and will support all those involved during the different stages.
- **Terms of reference (ToR):** ENQA and the agency agree on the Terms of Reference of the review, including the activities to be subjected to the review as well as the overall timeline.
- **Tripartite ToR with EQAR (if applicable):** Should the agency also wish to apply for EQAR registration using the same review report, a tripartite agreement on the ToR is made between ENQA, EQAR and the agency.

STAGE I: BEFORE THE SITE VISIT

- **Review panel:** coordinator composes the review panel which is approved by the Agency Review Committee (ARC) in accordance with ENQA policy.
 - At least 4 external reviewers, including:
 - one or two **quality assurance professionals** (at least one should be currently employed by a QA agency and both having been engaged in quality assurance within the past 5 years)
 - **academic** at a higher education institution
 - **student**
 - if requested: **labour market representative** (in addition or instead of second QA professional)
 - 1 panel member acts as the **chair** and 1 as the **secretary**

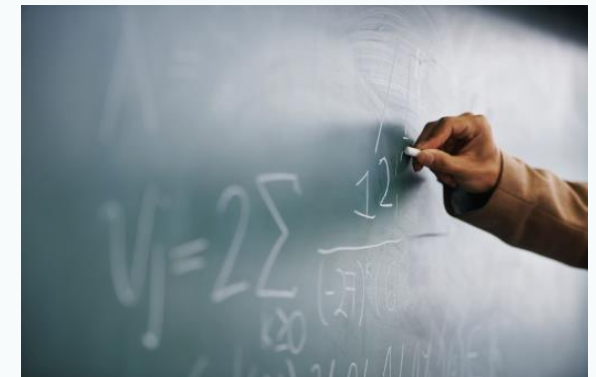
REVIEW PANEL – SELECTION CRITERIA 1/2

- All necessary **nominating entities** are followed (ENQA, EUA/EURASHE, ESU)
- All panel members must have been **trained by ENQA**
- The **academic** needs to be actively involved in teaching/research in HEI when appointed
- The **student** needs to be enrolled in higher education when appointed
- At least three panel members come **from outside the national system** of the agency under review
- The **review chair and the review secretary may not come from the same country**
- The **review chair** should not come from the country of the agency under review (in the case of nationally or sub-nationally based agencies).
- At least one member has **good knowledge and understanding of the HE and QA system** in which the agency (predominantly) operates.
- At least one panel member has **fluent knowledge of the main working language** of the agency and/or the language of the country in which the agency (predominantly) operates.



REVIEW PANEL – SELECTION CRITERIA 2/2

- No current or recent (at least 5 years) former **staff of the agency** in the panel
- None of the panel members has served as an **expert in the agency** under review in the last 5 years
- The review **chair will have previous experience** taking part in an ENQA agency review
- The **review secretary will normally have previous experience** taking part in an ENQA agency review
- Whenever possible, at least one panel member will not have previously participated in an ENQA agency review.
- No current members of **ENQA Board** in the panel
- **Gender balance** (not only male or female members)
- None of the experts participated in the agency's last **ENQA progress visit**
- Criteria for a review panel as defined in **EQAR's Guide for Applicants and Registered Agencies**



STAGE I: BEFORE THE SITE VISIT



- **Self assessment report (SAR)**: agency submits its SAR + any other documentation of relevance (annexes). The review coordinator carries out a screening of the SAR to ensure it meets the requirements.
- **Online briefing**: gathering the panel and the review coordinator (+EQAR) 3-4 weeks before the site visit – to discuss the process, to ensure a shared understanding of the tasks and objectives, to point out any specific issues, to share responsibilities.
- **Online meeting with the agency resource person**: ideally at least 2 weeks before the site visit to clarify elements related to the overall system and context, so that time during the interview sessions may be used as much as possible for verification of the agency's ESG compliance and enhancement.
- **Panel's kick off meeting**: in conjunction with the online meeting with the agency resource person.
- **Preparation**: highly important part, all panel members should be actively involved!

PREPARATION

- Documentation review: SAR + other documents from the agency/ENQA
- Timetable of activities for the site visit
- Division of the tasks
- Mapping grid of the ESG
- Additional documents to be requested from the agency prior to the site visit
- Lines of enquiry
- Outline report
- Practical arrangements



STAGE II: THE SITE VISIT

- **Panel's on-site pre-meeting (as necessary):** to discuss the schedule of the visit and to agree how the lines of enquiry will be pursued.
- **Site visit (usually 2.5 days; conducted in English):** to reflect on and fully validate the information in the SAR for each ESG and activity within the scope of the review, as well as to clarify any points at issue.
 - Interviews with the agency's personnel and stakeholders
 - Final de-briefing meeting - immediate feedback/overall impressions
 - "Skeleton" report
- **Important:** no information can be provided after the site visit!

STAGE III: WRITING THE FINAL REPORT

- **Draft by secretary:** review secretary is in charge of providing the first post-visit draft of the report.
 - However, the panel may decide that each member contributes with some written material from the early stages onwards.
- **Panel's comments:** all panel members should carefully read and comment on the draft report.
- **Screening by coordinator:** finalised draft is sent to the coordinator to verify its compliance with the guidelines.
- **Factual accuracy:** report is submitted to the agency for comment on factual accuracy.
- **Final report:** final version is then submitted by the review chair to the review coordinator for the attention of the Agency Review Committee.



STAGE IV: VALIDATION OF THE REPORT

- **Agency Review Committee (ARC)** scrutinises the review report to verify that it meets ENQA's quality standards, contains the necessary evidence to decide on ESG compliance and is consistent with other reports produced through the ENQA Agency Reviews.
- Following the scrutiny, the ARC decides on **validation of the report**, which is a condition for the publication of the report and its further use for agency's ENQA membership and EQAR registration applications.
 - For the validation the ARC produces a statement which is published by ENQA as an annex to the review report.
 - The ARC does not take a decision on overall compliance of the agency with the ESG.
- Prior to final validation of the report, the ARC has the option to request **additional (documentary) evidence or clarification** from the review panel, review coordinator or the agency if needed.

STAGE V: DECISION-MAKING

- **ENQA+EQAR application:**
 1. Following the validation of the report, the agency submits the report to EQAR for EQAR Register Committee's consideration and decision
 2. The ENQA Board will take the membership decision on the basis of the confirmation of the listing in EQAR and the agency's application letter
- **Only ENQA application or unsuccessful EQAR application:** The ENQA Board will take the membership decision on the basis of the external review report, including the statement annexed by the ARC, and the agency's application letter.

STAGE V: DECISION-MAKING

- Possible outcomes of the Board's decision:
 - The agency is considered to be in substantial compliance with the ESG and is admitted/reconfirmed as a member of ENQA.
 - The agency is considered as not substantially compliant with the ESG.
- **New applicants:** the minimum period before a next review after an unsuccessful one is 2 years. Recommendations and areas to be addressed before a new review.
- **Current members:** a “member under review”. Maximum 2 years to make the necessary changes to reach a sufficient level of compliance and undergo an additional partial review to verify progress.

STAGE VI: FOLLOW-UP

- **Follow-up report:** agency is requested to submit a follow-up report within 2 years of the validation of the final report.
- **Progress visit:** additional service, non-investigative nature. Voluntary progress visit is aimed to generate a stronger enhancement-oriented dialogue. In about 2-3 years after the validation of the review report, by 2 reviewers from the original review panel (when possible).





THANK
YOU

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